

## STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: International Business Machines Corporation

Application No./Patent No.: 7,107,416

Filed/Issue Date: September 12, 2006

Entitled: METHOD, SYSTEM, AND PROGRAM FOR IMPLEMENTING RETENTION POLICIES TO ARCHIVE RECORDS

International Business Machines Corporation  
(Name of Assignee)

Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. the assignee of the entire right, title, and interest; or
2. an assignee of less than the entire right, title and interest.  
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in the patent application/patent identified above by virtue of either:

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### **OR**

B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

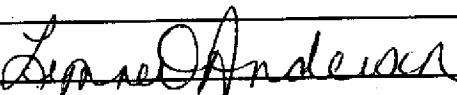
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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature:		Date:	<u>3-6-07</u>
Name	Lynne D. Anderson	Telephone Number	703-299-1455
Title	Program Manager - U.S. Patent and Trademark Office Liaison		

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

## ASSIGNOR:

STUART, ALAN L.

DOC DATE: 05/13/2004

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DOC DATE: 05/24/2004

## ASSIGNEE:

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SERIAL NUMBER: 10737367

FILING DATE: 12/15/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: METHOD, SYSTEM, AND PROGRAM FOR IMPLEMENTING RETENTION POLICIES TO  
ARCHIVE RECORDS

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LAZENA MARTIN, EXAMINER  
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1. Name of conveying party(ies): 1. Alan L. Smart 2. Toby Lyn Marek	Execution Date 1. May 13, 2004 2. May 24, 2004	2. Name and address of receiving party(ies): International Business Machines Corporation New Orchard Rd Armonk, New York 10504
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____		
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____		
A. Patent Application No.(s): 10/737,367, filed December 15, 2003		B. Patent No.(s): _____
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
5. Name and address of party to whom correspondence concerning document should be mailed: David W. Victor KONRAD RAYNES & VICTOR, LLP 315 S. Beverly Drive, Suite 210 Beverly Hills, CA 90212		6. Total number of applications and patents involved: [ 1 ]
		7. Total fee (37 CFR 3.41): \$ 40
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To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

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David W. Victor  
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May 27, 2004\_\_\_\_\_  
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\_\_\_\_\_  
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5/27/04  
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Date: March 30, 2006

Patrick Toole  
Vice President, Intellectual Property & Standards  
International Business Machines Corporation